

BOARD OF GOVERNORS

AUDIT AND RISK COMMITTEE

There will be a meeting of the Audit and Risk Committee from **3.30pm until 6.30pm on Thursday 6 June 2019** in Room 5541, Howard Building, City Campus.

If you are unable to attend, please notify Angela Temple in the Governance Services by telephone on 0114 225 4590 or by email a.temple@shu.ac.uk

If you have any queries or require further information please contact Clair Marlow (Interim Clerk to Board) by telephone on 0114 225 2051 or by e-mail c.marlow@shu.ac.uk

Members should notify the Secretary of any item to be raised under 'Other Urgent Business' at least 24 hours in advance of the meeting.

Unless members indicate to the Chair at the start of the meeting that they wish to raise specific points, the items listed below (marked +) for the Committee to receive and note will not be discussed at the meeting.

AGENDA

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|---------------------|-----|---|
| 3.30pm
(15 mins) | 1 | Private Meeting of Committee Members |
| 3.45pm
(10 mins) | 2 | Apologies for absence |
| | 3 | Declaration of interests |
| | | Members are reminded of their duty under the Articles of Government to declare any personal interest, financial or otherwise, relating to agenda items to be considered at the meeting. |
| | 4 | A/1/19/M Minutes of the meeting held on 14 March 2019 |
| | | To <u>consider</u> the minutes. |
| | 5 | A/2/19/5 Matters Arising/Audit and Risk Committee Action Tracker, version 21 May 2019 |
| | | To <u>receive</u> the action tracker and <u>consider</u> matters arising not elsewhere on the agenda. |
| | 6 | Other urgent business |
| | | To deal with other urgent business notified by 12 noon on 5 June 2019 or accepted by resolution. |
| | 7 | Risk Management |
| 3.55pm
(5 mins) | 7.1 | Report on Progress to Implement the General Data Protection Regulation |
| | | To <u>receive</u> a verbal update |

4pm (30 mins)	7.2	A/2/19/7.2	Update on Risk Management
			To <u>receive</u> and <u>discuss</u> the report
	7.3	A/2/19/7.3	Internal Audit on Risk Management
			To <u>receive</u> and <u>discuss</u> .
	7.4	A/2/19/7.4 Confidential	Assurance Map
			To <u>receive</u> and <u>discuss</u> .
4.30pm (30 mins)	7.5	A/2/19/7.5	Campus Masterplan Risks
			To <u>receive</u> and <u>discuss</u> .
5pm (20 mins)	8		Internal Audit
	8.1	A/2/19/8.1 <u>To Follow</u>	Internal Audit Progress Report
			To <u>receive</u> and <u>discuss</u> the progress report for March 2019 to June 2019, including the following final reports:
		A/2/19/8.1i <u>To Follow</u>	i) New operating model for professional and support services
		A/2/19/8.1ii <u>To Follow</u>	ii) Effectiveness of commercialisation of research
	8.2	A/2/19/8.2	Report on Implementation of Internal Audit Recommendations
			To <u>receive</u> and <u>discuss</u> .
5.20pm (45 mins)	8.3		Discussion on the 2019/20 internal audit plan
			To <u>discuss</u> .
6.05pm (15 mins)	9		External Audit
	9.1	A/2/19/9.1 Confidential	The Audit Plan for Sheffield Hallam University, Year Ending 31 July 2019 (Draft)
			To <u>receive</u> and <u>discuss</u> .
	9.2	A/2/19/9.2	(+) Approach to Related Party Transactions
			To <u>receive</u> and <u>note</u> .
	9.3	A/2/19/9.3	(+) Additional Work Carried Out by the External Auditors
			To <u>receive</u> and <u>note</u> .
	10		Date of Next Meeting
			Thursday 19 September 2019, 4.30 to 6.30pm

This will be preceded by a private meeting between the Committee and management from 4pm to 4.30pm

Clair Marlow
Interim Clerk to the Board of Governors
29 May 2019

For information

Unless members indicate to the Secretary prior to the meeting that they wish to raise specific points, the items listed below are for information and will not be discussed at the meeting.

(+) Forward Planning Programme for meetings of the Audit and Risk Committee