

AGENDA

DATE: Tuesday 10 November 2020

TIMINGS: Private meeting of Committee members only: 1145 to 1200
 Private meeting of Committee members and auditors: 1200 to 1230
 Audit and Risk Committee meeting: 1300 to 1500

VENUE: WebEx

If you are unable to attend please notify Governance Services by [email](#)

Members should notify the Secretary of any item to be raised under 'Other Urgent Business' at least 24 hours in advance of the meeting.

PRELIMINARY ITEMS	PAPER REFERENCE	TIMING
1. PRIVATE MEETING OF COMMITTEE MEMBERS		1145 to 1200 (15 mins)
2. PRIVATE MEETING OF COMMITTEE MEMBERS AND AUDITORS		1200 to 1230 (30 mins)
3. BREAK		1230 to 1300 (30 mins)
4. APOLOGIES FOR ABSENCE		1300 to 1305 (5 mins)
5. DECLARATION OF INTERESTS		
6. MINUTES OF PREVIOUS MEETING		
To <u>approve</u> the minutes of the meeting held on 17 September 2020	A/4/20/M Page 4	
7. MATTERS ARISING/AUDIT AND RISK COMMITTEE ACTION TRACKER, VERSION 04 NOVEMBER 2020	A/5/20/7 Page 13	
To <u>receive</u> the action tracker and <u>consider</u> matters arising not elsewhere on the agenda.		
8. CHAIR'S BUSINESS		
TO CONSIDER/DISCUSS:		
9. UPDATE ON COVID-19 RESPONSE	Verbal	1305 to 1310 (5 mins)
To <u>consider</u>		
10 AUDITOR SECTOR UPDATE	Verbal	1310 to 1315 (5 mins)
To <u>consider</u>		

11	REPORT ON TPS To <u>consider</u>	Verbal	1315 to 1320 (5 mins)
12	RESEARCH AND INNOVATION RISK To <u>consider</u>	A/5/20/12 Page 16	1320 to 1335 (15 mins)
13	REPORT ON RISK MANAGEMENT To <u>consider</u>	A/5/20/13 Page 18	1335 to 1340 (5 mins)
14	ASSURANCE OF DATA RETURNS To <u>consider</u>	A/5/20/14 Page 40	1345 to 1350 (5 mins)
15	INTERNAL AUDIT PROGRESS REPORT To <u>consider</u> including the following final internal audit reports:		1350 to 1405 (15 mins)
	i. Governance – Regulatory compliance and case management: Phase 1 on regulatory compliance	A/5/20/15i Page 56	
	ii. HE Apprenticeships: Phase 1	A/5/20/15ii Page 73	
16	KPMG ANNUAL REPORT TO THE VICE CHANCELLOR AND THE BOARD OF GOVERNORS 2019/20 To <u>consider</u>	A/5/20/16 Page 121	
17	REPORT ON IMPLEMENTATION OF INTERNAL AUDIT RECOMMENDATIONS To <u>consider</u>	Verbal	
18	ANNUAL PERFORMANCE REVIEW OF INTERNAL AUDIT To <u>consider</u>	A/5/20/18 confidential	
19	DRAFT ANNUAL REPORT AND FINANCIAL STATEMENTS To <u>consider</u>	A/5/20/19 confidential Page 134	1405 to 1420 (15 mins)
20	ANNUAL PERFORMANCE REVIEW OF EXTERNAL AUDIT To <u>consider</u>	A/5/20/20 confidential	1420 to 1425 (5 mins)
21	MODERN SLAVERY AND HUMAN TRAFFICKING STATEMENT (FOR THE FINANCIAL YEAR ENDED 31 JULY 2020)	A/5/20/21 Page 225	1425 to 1430 (5 mins)

To recommend to the Board for approval

22 ANNUAL VALUE FOR MONEY REPORT A/5/20/22 1430 to 1435
(5 mins)

To consider

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23 COMPLIANCE WITH CUC AUDIT CODE OF PRACTICE A/5/20/23 1435 to 1445
(10 mins)

To consider

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24 DRAFT AUDIT COMMITTEE ANNUAL REPORT TO THE A/5/20/24 1445 to 1450
BOARD OF GOVERNORS AND ACCOUNTABLE OFFICER Page 263
2019/20 (5 mins)

To consider

TO RECEIVE (Items for information)

25 ANNUAL FRAUD REPORT 2019/20 A/5/20/25

To note

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26 ADDITIONAL WORK CARRIED OUT BY THE EXTERNAL A/5/20/26
AUDITORS Page 275

To note

27 AUDIT AND RISK COMMITTEE: ANNUAL BUSINESS CYCLE A/5/20/27
2020/21 Page 277

To note

OTHER BUSINESS

28 ANY URGENT BUSINESS

29 DATE OF NEXT MEETING

Joint meeting with FEC: Wednesday 13 January 2021
