

**AGENDA**

DATE: Thursday 11 February 2021

TIMINGS: 1600 to 1800

VENUE: Zoom

If you are unable to attend please notify Governance Services by [email](#)

Members should notify the Secretary of any item to be raised under 'Other Urgent Business' at least 24 hours in advance of the meeting.

PRELIMINARY ITEMS	PAPER REFERENCE	TIMING
1. PRIVATE MEETING OF COMMITTEE MEMBERS		1600 to 1615 (15 mins)
2. APOLOGIES FOR ABSENCE		1615 to 1625 (10 mins)
3. DECLARATION OF INTERESTS		
4. CHAIR'S BUSINESS		
5. MINUTES OF PREVIOUS MEETINGS		
5.1 To <u>approve</u> the minutes of the meeting held on 10 November 2020	A/5/20/M Page X	
5.2 To <u>approve</u> the confidential minutes of the meeting held on 10 November 2020	A/5/20/M confidential Page X	
5.3 To <u>approve</u> the confidential minutes of the meeting between the Committee and the auditors held on 10 November 2020 **	A/5/20/M confidential Page X	
5.4 To <u>approve</u> the confidential minutes of the meeting of the Committee only held on 13 January 2021 **	A/1/21/M confidential Page X	
5.5 To <u>approve</u> the confidential minutes of the meeting between the Committee and the auditors held on 13 January 2021 **	A/1/21/M confidential Page X	
5.6 To <u>approve</u> the minutes of the joint meeting with Finance and Employment Committee held on 13 January 2021	A/1/21/M/JOINT Page X	
5.7 To <u>approve</u> the confidential minutes of the joint meeting with Finance and Employment Committee held on 13 January 2021	A/1/21/M/JOINT confidential Page X	

6.	MATTERS ARISING/AUDIT AND RISK COMMITTEE ACTION TRACKER, VERSION 3 FEBRUARY 2021	A/12/21/6 Page X	1625 to 1630 (5 mins)
	To <u>receive</u> the action tracker and <u>consider</u> matters arising not elsewhere on the agenda.		
<b>TO CONSIDER/DISCUSS:</b>			
7.	UPDATE ON COVID-19 RESPONSE To <u>consider</u>	Verbal	1630 to 1640 (10 mins)
8.	BREXIT RISKS To <u>consider</u>	Verbal	1640 to 1650 (10 mins)
9.	AUDITOR AUDIT MATTERS AND SECTOR ISSUES UPDATE To <u>consider</u>	Verbal	1650 to 1700 (10 mins)
10.	REPORT ON TPS To <u>consider</u>	Verbal	1700 to 1705 (5 mins)
	BREAK		1705 to 1710
11.	CORPORATE RISKS UPDATE To <u>consider</u>	A/2/21/11 Page x	1710 to 1720 (10 mins)
12.	ASSURANCE OF DATA RETURNS To <u>consider</u>	A/2/21/12 Page x	1720 to 1725 (5 mins)
13.	TRANSPARENT APPROACH TO COSTING (TRAC) – ANNUAL RETURN To <u>consider</u>	A/2/21/13 confidential Page x	1725 to 1730 (5 mins)
14.	INTERNAL AUDIT PROGRESS REPORT  To <u>consider</u> the following final internal audit reports:	A/2/21/14 Page x	1730 to 1755 (25 mins)
	i. Governance – Regulatory compliance and case management: Phase 2 on case management	A/2/21/14i Page x	
	ii. Audit and Risk Committee effectiveness	A/2/21/14ii Page x	
15.	REPORT ON THE WORK OF THE REFINANCING FOR TRANSFORMING LIVES TASK AND FINISH GROUP	Verbal	1755 to 1800 (5 mins)

To consider

**TO RECEIVE (Items for information)**

16. UPDATE ON PUBLIC INTEREST DISCLOSURE CASE A/2/21/16  
 To note confidential  
 Page x

17. REPORT ON REVIEW OF ANTI-BRIBERY POLICY AND  
 UPDATE ON BRIBERY ACT COMPLIANCE MEASURES A/2/21/17  
 confidential  
 To note Page x

18. REPORT ON WAIVERS OF THE FINANCIAL  
 REGULATIONS A/2/21/18  
 confidential  
 Page x

19. ADDITIONAL WORK CARRIED OUT BY THE EXTERNAL  
 AUDITORS A/2/21/19  
 Page x  
 To note

20. AUDIT AND RISK COMMITTEE: ANNUAL BUSINESS  
 CYCLE 2020/21 A/2/21/20  
 Page x  
 To note

**OTHER BUSINESS**

21. ANY URGENT BUSINESS

22. DATE OF NEXT MEETING  
 Thursday 20 May 2021, 1530 to 1830

Angela Temple  
 Governance Senior Adviser  
 4 February 2021

**\*\*Note for members of the Committee: the confidential minutes of the private meetings may be viewed on the Governor Zone – these have not been included in the Digital Meeting Book.**