

**AGENDA**

DATE: Thursday 25 November 2021

TIMINGS: 1600 to 1830

VENUE: Zoom

If you are unable to attend please notify Governance Services by [email](#)

Members should notify the Secretary of any item to be raised under 'Other Urgent Business' at least 24 hours in advance of the meeting.

PRELIMINARY ITEMS	PAPER REFERENCE	TIMING
1. PRIVATE MEETING OF COMMITTEE MEMBERS		1600 to 1610 (10 mins)
2. PRIVATE MEETING OF COMMITTEE MEMBERS AND AUDITORS		1610 to 1620 (10 mins)
3. APOLOGIES FOR ABSENCE		1620 to 1625 (5 mins)
4. DECLARATION OF INTERESTS		
5. MINUTES OF PREVIOUS MEETING		
To <u>approve</u> the minutes and the confidential minutes of the meeting held on 30 September 2021	A/3/21/M	
6. MATTERS ARISING/AUDIT AND RISK COMMITTEE ACTION TRACKER, VERSION 18 NOVEMBER 2021	A/5/21/6	
To <u>receive</u> the action tracker and <u>consider</u> matters arising not elsewhere on the agenda.		
7. CHAIR'S BUSINESS		
<b>TO CONSIDER/DISCUSS:</b>		
8. CORPORATE RISKS UPDATE	A/5/21/8	1625 to 1630 (5 mins)
To <u>consider</u>	confidential	
9. COMMERCIAL RISK	A/5/21/9	1630 to 1650 (20 mins)
To <u>consider</u>	confidential	
10. ASSURANCE OF DATA RETURNS	A/5/21/10	1650 to 1700 (10 mins)
To <u>consider</u>		
11. INTERNAL AUDIT PROGRESS REPORT		1700 to 1710 (10 mins)

To consider including the following final internal audit reports:

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| i.  | Teaching Quality       | A/5/21/11i  |
| ii. | Academic Work Planning | A/5/21/11ii |

12.	BREAK		1710 to 1720 (10 mins)
13.	KPMG ANNUAL REPORT TO THE VICE CHANCELLOR AND THE BOARD OF GOVERNORS 2020/21	A/5/21/13	1720 to 1725 (5 mins)
	To <u>consider</u>		
14.	INTERNAL AUDIT PLAN 2021/22	A/5/21/14	1725 to 1730 (5 mins)
	To <u>consider</u>		
15.	REPORT ON IMPLEMENTATION OF INTERNAL AUDIT RECOMMENDATIONS	A/5/21/15	1730 to 1735 (5 mins)
	To <u>consider</u>		
16.	REPORT ON REVIEW OF ANTI-MONEY LAUNDERING POLICY AND UPDATE ON ANTI-MONEY LAUNDERING COMPLIANCE	A/5/21/16 confidential	1735 to 1745 (10 mins)
	To <u>consider</u>		
17.	ANNUAL REPORTS/STATEMENTS		
17.1	MODERN SLAVERY AND HUMAN TRAFFICKING STATEMENT (FOR THE FINANCIAL YEAR ENDED 31 JULY 2021)	A/5/21/17.1	1745 to 1755 (10 mins)
	To <u>recommend</u> to the Board for approval		
17.2	DRAFT ANNUAL REPORT AND FINANCIAL STATEMENTS 2020/21	A/5/21/17.2 confidential	1755 to 1805 (10 mins)
	To <u>consider</u>		
17.3	DRAFT AUDIT COMMITTEE ANNUAL REPORT TO THE BOARD OF GOVERNORS AND ACCOUNTABLE OFFICER 2020/21	A/5/21/17.3	1805 to 1810 (5 mins)
	To <u>consider</u>		
18.	ANNUAL PERFORMANCE REVIEW OF INTERNAL AUDIT	A/5/21/18 confidential	1810 to 1815 (5 mins)
	To <u>consider</u>		
19.	TENDER FOR EXTERNAL AUDIT	A/5/21/19 confidential	1815 to 1820 (5 mins)
	To <u>consider</u> and <u>recommend</u> to the Board		

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| 20. | PRIVATE MEETING OF COMMITTEE MEMBERS AND MANAGEMENT | 1820 to 1830<br>(10 minutes) |
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**TO RECEIVE (Items for information)**

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| 21. | UPDATE ON TPS<br><br>To <u>note</u> | A/5/21/21<br><br>confidential |
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| 22. | ANNUAL PROCUREMENT REPORT 2020/21<br><br>To <u>note</u> | A/5/21/22<br><br>confidential |
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| 23. | ADDITIONAL WORK CARRIED OUT BY THE EXTERNAL AUDITORS<br><br>To <u>note</u> | A/5/21/23 |
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| 24. | AUDIT AND RISK COMMITTEE: ANNUAL BUSINESS CYCLE 2020/21<br><br>To <u>note</u> | A/5/21/24 |
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**OTHER BUSINESS**

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| 25. | ANY URGENT BUSINESS |
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| 26. | DATE OF NEXT MEETING |
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Private meetings: Wednesday 26 January 2022; noon to 1pm

Joint meeting with FEC: Wednesday 26 January 2022; 1.30pm to 2.30pm

Please note the meetings will be held in room 5541, Howard Building, Level 5

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