

AGENDA

DATE: Thursday 30 September 2021

TIMINGS: 1600 to 1830

VENUE: Zoom

If you are unable to attend please notify Governance Services by [email](#)

Members should notify the Secretary of any item to be raised under 'Other Urgent Business' at least 24 hours in advance of the meeting.

PRELIMINARY ITEMS	PAPER REFERENCE	TIMING
1. PRIVATE MEETING OF COMMITTEE MEMBERS		1600 to 1610 (10 mins)
2. PRIVATE MEETING OF COMMITTEE MEMBERS AND AUDITORS		1610 to 1620 (10 mins)
3. APOLOGIES FOR ABSENCE		1620 to 1625 (5 mins)
4. DECLARATION OF INTERESTS		
5. MINUTES OF PREVIOUS MEETING		
5.1 MINUTES OF PREVIOUS MEETING To <u>approve</u> the minutes of the meeting held on 20 May 2021	A/3/21/M	
5.2 CONFIDENTIAL MINUTES OF PREVIOUS MEETING To <u>approve</u> the confidential minutes of the meeting held on 20 May 2021	A/3/21/M	
6. MATTERS ARISING/AUDIT AND RISK COMMITTEE ACTION TRACKER, VERSION 16 SEPTEMBER 2021 To <u>receive</u> the action tracker and <u>consider</u> matters arising not elsewhere on the agenda.	A/4/21/6	
7. CHAIR'S BUSINESS		
TO CONSIDER/DISCUSS:		
8. APPOINTMENT OF DEPUTY CHAIR To <u>consider</u>		
9. INTERNAL AUDIT ON RISK MANAGEMENT	A/4/21/9	1625 to 1630 (5 mins)

<u>To consider</u>			
10.	CORPORATE RISKS UPDATE	A/4/21/10	1630 to 1635 (5 mins)
	<u>To consider</u>	confidential	
11.	UPDATE ON TPS	A/4/21/11	1635 to 1645 (10 mins)
	<u>To consider</u>	Confidential	
12.	INTERNAL AUDIT REPORTS		1645 to 1715 (30 mins)
	<u>To consider</u> including the following final internal audit reports:		
	i. HE Apprenticeships: Phase 2	A/4/21/12i	
	ii. Campus Plan: Risk Management Framework	A/4/21/12ii	
	iii. Cyber Maturity Assessment	A/4/21/12iii	
	iv. Dynamics and E5 Key IT controls	A/4/21/12iv	
	v. Student Welfare, Wellbeing and Support	A/4/21/12v	
	vi. Leading change through Covid 19 and beyond – new models of working	A/4/21/12vi	
	vii. Follow-up	A/4/21/12vii	
13.	BREAK		1715 to 1730 (15 mins)
14.	DRAFT KPMG ANNUAL REPORT TO THE VICE CHANCELLOR AND THE BOARD OF GOVERNORS 2020/21	A/4/21/13	1730 to 1735 (5 mins)
	<u>To consider</u>		
15.	DRAFT INTERNAL AUDIT STRATEGY AND OPERATIONAL PLAN 2021/22	A/4/21/15	1735 to 1745 (10 mins)
	<u>To approve</u>		
16.	ANNUAL VALUE FOR MONEY REPORT 2020/21	A/4/21/16	1745 to 1805 (20 mins)
	<u>To consider</u>		
17.	AUDIT AND RISK COMMITTEE ANNUAL EFFECTIVENESS REVIEW	A/4/21/17	1805 to 1815 (10 mins)
	<u>To consider</u>		

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| 18. | PRIVATE MEETING OF COMMITTEE MEMBERS AND MANAGEMENT | 1815 to 1830 (15 mins) |
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TO RECEIVE (Items for information)

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| 19. | ANNUAL REPORT ON PUBLIC INTEREST DISCLOSURES 2020/21 | A/4/21/19 |
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To note

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| 20. | REPORT ON WAIVERS OF THE FINANCIAL REGULATIONS | A/4/21/20
confidential |
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To note

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| 21. | ADDITIONAL WORK CARRIED OUT BY THE EXTERNAL AUDITORS | A/4/21/21 |
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To note

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| 22. | DEADLINES AND REQUIREMENTS FOR 2021/22 DATA RETURNS AND ANNUAL FINANCIAL RETURN 2022 | A/4/21/22 |
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To note

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| 23. | AUDIT AND RISK COMMITTEE: ANNUAL BUSINESS CYCLE – TO JULY 2022 | A/4/21/23 |
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To note

OTHER BUSINESS

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| 24. | ANY URGENT BUSINESS |
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| 25. | DATE OF NEXT MEETING
Thursday 25 November 2021, 1600 to 1830 |
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