**Realistic Mathematics Education (RME) Evaluation**

**Sheffield Hallam University and Manchester Metropolitan University   
Fair Processing Notice**

**Introduction**

This document accompanies the Memorandum of Understanding and outlines the responsibilities of Manchester Metropolitan University (MMU) and Sheffield Hallam University (SHU) in handling personal data collected from participants as part of the Realistic Mathematics Education (RME) trial and evaluation. The trial is being funded by the Education Endowment Foundation (EEF). Participants include: teachers, pupils and parents/carers.

From 25 May 2018 the General Data Protection Regulation (GDPR) replaces the Data Protection Act and governs the way that organisations use personal data. Personal data is information relating to an identifiable living individual.

Transparency is a key element of the GDPR and this Data Protection Statement is designed to inform participants about:

* how and why SHU and MMU will use personal data collected in this evaluation
* what participants’ rights are under GDPR, and
* how to contact us to exercise those rights.

This Fair Processing Notice is compliant with the Data Protection Act 2018

**Participants’ Rights**

One of the aims of the General Data Protection Regulation (GDPR) is to empower individuals and give them control over their personal data. The GDPR gives participants the following rights:

* the right to be **informed**
* the right of **access**
* the right to **rectification**
* the right to **erase**
* the right to **restrict** processing
* the right to **data portability**
* the right to **object**
* rights in relation to **automated decision making and profiling**

For more information about these rights please see: <https://ico.org.uk/for-organisations/guide-to-data-protection/principle-6-rights/> and: <https://www.shu.ac.uk/about-this-website/privacy-policy/data-subject-rights/subject-access-request>

**Participants can contact SHU/MMU at any time to:**

* request copies of their own personal data held by SHU/MMU (**a subject access request**)
* exercise **other rights** (e.g. to have inaccurate data rectified, to restrict or object to processing)
* **query** how data is used by SHU/MMU
* report a **data security breach** (e.g. if there are concerns that personal data has been lost or disclosed inappropriately)
* **complain** about how SHU/MMU have used personal data.

**Details of who to contact are provided at the end of this statement.**

**Why are we processing participants’ personal data?**

It is necessary for SHU/MMU to process some personal data, in order to evaluate the impact of RME. This will help to strengthen the evidence base about mathematics teaching in schools in order to inform future policy development.

**Retention**

After the evaluation with EEF is complete, SHU and MMU will retain participants’ data for research and knowledge-exchange purposes, including presentations at professional or academic conferences, or publications in professional or academic journals, for a period of ten years after the last publication arising from the evaluation. After this period, SHU and MMU will review the longer-term archival value of the data.

**Respecting confidentiality**

In the production of professional or academic publications or presentations, all data will be fully anonymised and no individual or school will be identified or identifiable. Should we wish to present or publish any information where a school may be identifiable, for example an exemplar case study of how a school has improved as a result of participation in a RME project, we will seek the school’s consent for this. Schools will be entirely free to refuse this and we would therefore ensure the school remained anonymous.

**What is the legal basis for processing activities?**

SHU and MMU are joint Data Controllers for the RME evaluation. The processing of personal data through the RME evaluation is defined under GDPR as a specific task in the public interest. The legal basis for processing your personal data is ‘Public Task’ (Article 6 (1) (e)). <https://ico.org.uk/for-organisations/guide-to-the-general-data-protection-regulation-gdpr/lawful-basis-for-processing/public-task/>

**Which Personal Data will we collect and use?**

In order to provide our services we need to collect and use some personal data. Below is a list of what this may include for the trial:

|  |  |  |
| --- | --- | --- |
| **Type of personal data** | **Pupil** | **Teacher** |
| Names | X | X |
| Contact details |  | X |
| Personal characteristics data including: Pupil Name, UPN, FSM status and gender | X |  |
| Attitudinal survey responses | X | X |
| Attitudinal interview responses |  | X |
| Progress, achievement and attainment data held by schools, and in the National Pupil Database | X |  |
| Outcome test data, to be processed by GL | X |  |
| Sample pupil work | X |  |
| Data on participation in the RME project and use of materials |  | X |

For SHU case study schools:

|  |  |  |  |
| --- | --- | --- | --- |
| **Type of personal data** | **Pupil** | **Teacher** | **SLT** |
| Interview responses |  | X | X |
| Video recording of lesson | X | X |  |
| Focus group data | X |  |  |

For SHU telephone interview schools:

|  |  |
| --- | --- |
| **Type of personal data** | **Teacher** |
| Interview responses | x |

For MMU Design schools:

|  |  |  |
| --- | --- | --- |
| **Type of personal data** | **Pupil** | **Teacher** |
| Interview responses | X | X |
| Video recording of lesson | X | X |
| Sample of pupil/teacher work | X | X |

Using the information we receive from schools, we will also obtain data from sources such as the DfE Schools Comparison Service and the National Pupil Database.

**Who will we share personal data with?**

The privacy of personal data is paramount and will not be disclosed unless there is a justified purpose for doing so. Data may be shared between SHU/MMU and:

* **EEF** for the purposes of research and evaluation**.** This includes submitting project data to the archive managed by the Fischer Family Trust (FFT) at the end of the project. At this point, EEF becomes a data controller, and FFT becomes a data processor.
* **GL Assessment** will mark the outcome assessment papers and will have access to pupil names and SHU generated pupil ID numbers as part of this process.
* **Transcribers**, who we may askto produce transcripts of audio recordings of interviews and focus groups. If this is the case SHU and MMU will ensure that appropriate contracts and/or data-sharing agreements are in place and that the transcribers process personal data in accordance with the GDPR and other applicable legislation.

**SHU and MMU NEVER sell personal data to third parties**

**Security**

**SHU** and MMU takes a robust approach to protecting the information they hold. This includes the installation and use of technical measures including encryption of data, firewalls and intrusion detection and prevention tools on networks and segregation of different types of device; the use of tools on University computers to detect and remove malicious software and regular assessment of the technical security of SHU and MMU systems. SHU and MMU staff monitor systems and respond to suspicious activity. SHU also has Cyber Essentials certification.

Alongside these technical measures there are comprehensive and effective policies and processes in place to ensure that SHU and MMU users and administrators of information are aware of their obligations and responsibilities for the data they have access to. Access to project data is restricted to the research and evaluation teams and administrators associated with the project. Any sharing of the data with other researchers would require approval by the SHU Faculty of Development and Society ethics committee who will ensure that all data protection requirements are met. Training is provided to new staff joining SHU and MMU, and existing staff have training and expert advice available if needed.

**Further Information and Support**

For further information about how SHU and MMU use personal data see:

<https://www.shu.ac.uk/about-this-website/privacy-policy/privacy-notices/privacy-notice-for-research>

<https://www.shu.ac.uk/about-this-website/privacy-policy/information-governance-policy>

<http://www2.mmu.ac.uk/data-protection/> (See ‘For Students and the Public’ section)

The Information Commissioner is the regulator for GDPR. The Information Commissioner's Office (ICO) has a website with information and guidance for members of the public:

<https://ico.org.uk/for-the-public/>

If there are any concerns about the way this project processes personal data, please raise these with the project teams.

**Contact details**

**SHU**

Sean Demack (SHU RME Project Director)

Lead Statistician, SIoE,   
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OR

Governance Services

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foi@shu.ac.uk

0114 225 5555

**MMU**

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If you have an ongoing concern, you can contact the Information Commissioner’s Office, the body responsible for enforcing data protection legislation in the UK, at <https://ico.org.uk/concerns/>